



AEO – Declaration of Security in the Supply Chain

Issued for the Wacker Neuson Group (WNG) with its independent AEO-certified economically associated subsidiaries:

- Weidemann GmbH, Elfringhäuser Weg 24, 34497 Korbach, Germany
- Kramer-Werke GmbH, Wacker Neuson Straße 1, 88630 Pfullendorf, Germany
- Wacker Neuson Produktion GmbH & Co. KG, Wackerstraße 6, 85084 Reichertshofen, Germany
- Wacker Neuson Aftermarket & Services GmbH, Preußenstr. 41, 80809 Munich, Germany
- Wacker Neuson Linz GmbH, Flughafenstraße 7, 4063 Hörsching, Austria

Please check the applicable boxes		Declaration on the Performance of and Compliance with Security Measures
<input type="checkbox"/>	<p>Goods Deliveries (for suppliers of production materials and merchandise as well as forwarding agents/warehouse keepers)</p>	<p>I hereby declare that:</p> <ul style="list-style-type: none"> • Goods produced, stored, and transported on behalf of authorized economic operators (AEO), or delivered to or received by same <ul style="list-style-type: none"> - are produced, stored, processed or treated and shipped at secure operating facilities¹ and at secure trans-shipment areas - during production, storage, processing or treatment, shipping and transport are protected from unauthorized access • the personnel employed in production, storage, processing or treatment, shipping and receiving of such goods are reliable² • Business partners acting on my behalf have been instructed that they must likewise take measures to secure the above-mentioned supply chain.
<input type="checkbox"/>	<p>Services Rendered (for businesses rendering services on the WNG factory grounds or for customers on behalf of the WNG)</p>	<p>I confirm acknowledgment of the following obligations laid down:</p> <ul style="list-style-type: none"> • Security measures related to our business operations: <ul style="list-style-type: none"> - This is a properly managed company registered in the Commercial Register. - Within the business, only contractual employment relationships are entered into. - A personnel check is conducted in the course of hiring, which determines the reliability of the employee to the greatest extent possible. • Information on security with respect to the activity to be performed: <ul style="list-style-type: none"> - Personnel in the present business are instructed specially in the activities involved in services rendered; the requirement of increased due diligence in the area of security is well known to them. - Personnel are made aware of the relevance of the physical security of objects, written documents and information taken note of during the activity and are accordingly obliged to maintain confidentiality. - An absolute prohibition has been issued against personnel forwarding any kind of information/knowledge to third parties. - Personnel are aware that access by third parties to the keys entrusted to them for entry to the building/office room/warehouse is to be prevented. - Personnel are obliged to take suitable measures to prevent access by third parties of buildings/office rooms/warehouses. Should a third party be granted access, the visit is to be announced and documented and this person accompanied by an employee. - Personnel have been instructed to react immediately to recognized abnormalities presenting a security risk (open windows, entryways, warehouses) and to take suitable measures. A report to the supervisor is to be made.
<input type="checkbox"/>	<p>Customs Services Rendered (for businesses rendering customs services)</p>	<p>I hereby declare that:</p> <ul style="list-style-type: none"> • I am active as a provider of customs services for the Wacker Neuson Group and guarantee full and continuous compliance with the legal provisions relevant for me (in particular, the Union Customs Code (Unionszollkodex UZK) and Customs Code DVO (Zollkodex-DVO)) in my business, • I reliably protect my operating facility/facilities from unauthorized entry by third parties, • I protect my IT system in particular from unauthorized access and guarantee the availability of all customs-relevant data even in the event of system failure, • I check the sanctions lists 2x per year for any members of personnel occupied with customs-relevant activities • I have, to a sufficient extent, professionally qualified personnel occupied with customs-relevant activities, • my customs-relevant processes are the object of an internal audit at least 1x per year, and I also consent to an audit at any time by my client.
<input type="checkbox"/>	<p>Non-Relevance</p>	<p>I hereby declare that the situations above do not apply. No physical delivery of goods and/or services rendered on site take place.</p>

With the following signature, I confirm that I will comply with the appropriate security measures and communicate any changes to the WNG:

Company name and address: _____

Name of the authorized signatory³: _____

Position: _____

Date of issuance, signature, company seal: _____

¹ "Secure operating areas" means that the respective regulations applicable for operating facilities and the applicable accident prevention regulations are complied with.

² "reliable" means, within the meaning of this security declaration, qualified for and trained in the respective activity, instructed in the relevant provisions regarding occupational safety, the Working Hours Act, fire protection, and environmental protection and not listed on any of the "EU counterterrorism lists" (compliance with EU Directive 2580/2001 and 881/2002).

³ Signatory power according to certificate of registration or Commercial Register



Explanations – for Security in the Supply Chain

The subsidiaries of the Wacker Neuson Group (WNG) previously listed are certified as AEO (“Authorized Economic Operator”). This status makes efficient import and export processes possible for this business, as well as advantages during security-related customs checks and/or facilitated processing as per customs regulations.

To enable these businesses in the Wacker Neuson Group to comply with the necessary requirement, that is, to consistently ensure the required measures within the international supply chain, from the manufacturer of goods to the end consumer, every business partner must confirm compliance with the appropriate necessary security measures by signing the AEO Declaration.

Objective:

- To protect the continuous international supply chain from the manufacturer to the end consumer
- To focus checks on non-certified economic operators

Validity:

- The security declaration must be submitted by all business partners not certified themselves.
- The security declaration is to be submitted by suppliers who deliver goods to the premises of the Wacker Neuson Group.
- The security declaration is also to be submitted by service providers who physically enter the premises of the Wacker Neuson Group.

Background:

- Introduction of the AEO - Authorized Economic Operator = element of the EU security concept
- Specifications of the World Customs Organization (WCO) on the Framework of Standards to Secure and Facilitate Global Trade (SAFE) for the introduction and improvement of security systems
- Authorized Economic Operator status should be recognized beyond the borders of the European Union
- Existing agreements with Switzerland, Norway, Japan, the USA, and China, negotiations with third countries (e.g. Canada) are ongoing
- Authorized Economic Operator status is valid in all member states and not limited in time

AEO Directory:

- European Commission publishes a directory of AEO-authorized businesses, which is continuously updated

[Link: https://ec.europa.eu/taxation_customs/dds2/eos/aeo_consultation.jsp?Lang=de](https://ec.europa.eu/taxation_customs/dds2/eos/aeo_consultation.jsp?Lang=de)

- The directory contains only AEO-authorized businesses who have expressly consented to publication of the information in the AEO authorization

The client reserves the right to make adaptations to this declaration and to present it for signature again.